

Message Text

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ORIGIN PM-05

INFO OCT-01 ISO-00 AF-10 ARA-10 EA-10 EUR-12 NEA-11
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AID:MJHEYL (SUBS)
TREASURY:CCROSSWHITE (SUBS)
T:WMARSH (DRAFT)
EUR/RPM:EREHFELD (DRAFT)
AF/I:WCARROLL (DRAFT)
EA:RA:MEILAND (DRAFT)
NEA:RA:WGROSS (DRAFT)
ARA:RPP:SQUIGG (DRAFT)
HA:HR:EOJONES

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FM SECSTATE WASHDC
TO ALL DIPLOMATIC POSTS
USCINCEUR
CINCPAC HONOLULU HAWAII 0000
USCINCSO
INFO SECDEF WASHDC 0000
JCS WASHDC 0000
CSA
CNO
CSAF
CMC
DISAM WP AFB OHIO

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C O N F I D E N T I A L STATE 167901

E.O. 11652: GDS

TAGS: MASS, MPOL, XX

SUBJECT: SECURITY ASSISTANCE REPORTING REQUIREMENTS

SUMMARY: MESSAGE DESCRIBES REVISED SECURITY ASSISTANCE
REPORTING REQUIREMENTS. FIRST ANNUAL INTEGRATED ASSESSMENT

OF SECURITY ASSISTANCE TO BE SUBMITTED BY JULY 21, 1978.
ANNUAL CONSOLIDATED DATA REPORT FOR USE IN PREPARATION OF
CONGRESSIONAL PRESENTATION DOCUMENT TO SUPPORT SECURITY
ASSISTANCE PROGRAM BUDGETARY REQUEST DUE DECEMBER 15, 1978.
THESE REVISED REPORTS ELIMINATE REDUNDANT REPORTING PRE-
VIOUSLY REQUIRED BY STATE/DEFENSE. END SUMMARY.

1. TO CARRY OUT THEIR RESPONSIBILITIES FOR SECURITY
ASSISTANCE, THE SECRETARIES OF STATE AND DEFENSE REQUIRE
ANNUAL ESTIMATES AND CONSIDERABLE DATA, MUCH OF WHICH ORIG-
INATES IN US DIPLOMATIC MISSIONS ABROAD. AN EXAMINATION OF
CURRENT SECURITY ASSISTANCE REPORTING REQUIREMENTS, HOWEVER
HAS REVEALED CONSIDERABLE REDUNDANCY AND OVERLAP IN REPORTS
FILED BY ELEMENTS OF DIPLOMATIC MISSIONS. TO ELIMINATE
REDUNDANCY, TO STRENGTHEN ESTIMATES, TO ALLOW FIELD PARTIC-
IPATION IN THE ZBB PROCESS, AND TO ECONOMIZE ON REPORTING,
STATE AND DEFENSE HAVE DECIDED TO REVISE AND CONSOLIDATE
FIELD REPORTING REQUIREMENTS. THIS MESSAGE DESCRIBES THE
NEW AND REVISED REPORTS. THIS MESSAGE DOES NOT APPLY TO
SECURITY SUPPORTING ASSISTANCE, WHICH IS SUBJECT OF
SEPARATE INSTRUCTIONS. SSA SUBMISSION, HOWEVER, WILL BE
INTEGRATED IN WASHINGTON INTO A SINGLE BUDGET REQUEST
COVERING ALL ELEMENTS OF SECURITY ASSISTANCE.
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2. IN GENERAL, BEGINNING IN 1979, THERE WILL BE ONLY TWO
SCHEDULED SECURITY ASSISTANCE REPORTS: (1) AN ANNUAL INTE-
GRATED ASSESSMENT OF SECURITY ASSISTANCE TO BE SUBMITTED
BY MAY 1; AND (2) AN ANNUAL DECEMBER 15 SUBMISSION FOR THE
CONGRESSIONAL PRESENTATION DOCUMENT (CPD). THE MAY REPORT
WILL REPLACE SEPARATE COUNTRY TEAM SUBMISSIONS, FOR THE
MSAP, THE JPAM SECURITY ASSISTANCE ANNEX, AND THE STATE
DEPARTMENT SECURITY ASSISTANCE BUDGET DATA REQUEST. SPOT
REPORTS OF INFORMATION AND DEVELOPMENTS OF SIGNIFICANCE TO
PROGRAM AND BUDGET DETERMINATION CAN BE SUBMITTED AT ANY
TIME TO SUPPLEMENT THE TWO SCHEDULED REPORTS. THERE WILL
REMAIN A REQUIREMENT FOR INPUTS TO OTHER DOCUMENTS AND
EVALUATIONS OF SPECIFIC ARMS TRANSFERS REQUESTS.

3. ALL DIPLOMATIC POST ADDRESSEES ARE REQUESTED TO SUBMIT
THE REPORTS SPECIFIED BELOW SO AS TO REACH WASHINGTON
NOT LATER THAN THE DATES INDICATED. IT IS RECOGNIZED
THAT SOME OF THIS INFORMATION MAY DUPLICATE OTHER SUB-
MISSIONS ALREADY MADE THIS YEAR; HOWEVER, IT IS ANTICIPATED
THAT DUPLICATIONS AND INPUTS WILL BE SIGNIFICANTLY REDUCED
IN THE FUTURE. DATA SUBMISSIONS WILL BE ADDRESSED TO THE
SECRETARY OF STATE WITH INFORMATION COPIES PROVIDED TO
THE SECRETARY OF DEFENSE, THE JOINT CHIEFS OF STAFF, ACDA,
AID AND THE APPROPRIATE UNIFIED COMMANDS.

A. THE FIRST ANNUAL INTEGRATED ASSESSMENT OF SECURITY
ASSISTANCE WILL BE SUBMITTED BY JULY 21, 1978, AND IN

SUBSEQUENT FISCAL YEARS BY MAY 1. THIS SUBMISSION WILL CONTAIN:

- (1) A SHORT DESCRIPTION OF U.S. INTERESTS TO BE SERVED BY A SECURITY ASSISTANCE RELATIONSHIP AND A STATEMENT OF SPECIFIC SECURITY ASSISTANCE OBJECTIVES.
- (2) A STATEMENT OF THE THREAT, BOTH EXTERNAL AND INTERNAL, PERCEIVED BY THE HOST COUNTRY AND THE COUNTRY'S STRATEGIES TO MEET THE THREAT.

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- (3) A STATEMENT OF THE MISSION'S PERCEPTION OF THE THREAT, IF IT DIFFERS FROM WHAT IT UNDERSTANDS TO BE THE HOST COUNTRY'S ESTIMATES.
- (4) THE TYPE MILITARY ESTABLISHMENT THE HOST COUNTRY BELIEVES SUITED TO MEET THE COUNTRY'S REQUIREMENTS AND THE THREAT.
- (5) AN ASSESSMENT OF THE FORCE DISCUSSED ABOVE IN RELATION TO U.S. INTERESTS AND ALTERNATIVES.
- (6) A BRIEF ANALYSIS OF DEFENSE SPENDING IN THE ECONOMY AS A WHOLE TOGETHER WITH RELEVANT DATA ON: DEFENSE EXPENDITURES AND ON DEFENSE EXPENDITURES AS A PERCENT OF BUDGET AND OF GNP; ON MILITARY IMPORTS AS A PERCENTAGE OF TOTAL IMPORTS; AND ON ANY FOREIGN EXCHANGE OR DEBT SERVICING PROBLEMS.

(7) BEGINNING WITH THE MAY 1979 SUBMISSION, A PROJECTION OF DEFENSE ARTICLES AND SERVICES THE HOST COUNTRY IS LIKELY TO SEEK TO ACQUIRE FROM THE U.S. DURING THE BUDGET YEAR PLUS THE TWO SUBSEQUENT YEARS. DETAILED INSTRUCTIONS CONCERNING THE STRUCTURE OF THE PROJECTION WILL BE PROVIDED LATER. (PROJECTIONS ALREADY SUBMITTED WITH THE FY 80-84 MSAP WILL FULFILL THIS REQUIREMENT FOR 1978).

(8) A BRIEF ASSESSMENT OF EACH ITEM PROJECTED, EXPLAINING WHY THE BUYER NEEDS THE ARTICLES AND SERVICES AND WHAT USE IT INTENDS TO MAKE OF THEM.

(9) AN ASSESSMENT OF THE ECONOMIC IMPACT ON THE HOST COUNTRY IF THE ARTICLES AND SERVICES PROJECTED ARE ACQUIRED. THIS SHOULD CONSIDER BOTH DIRECT (ACQUISITION, INCLUDING INITIAL SPARES, AND TRAINING) COSTS AND INDIRECT (ON-GOING OPERATION AND MAINTENANCE COSTS). IT SHOULD EVALUATE THE IMPACT ON ECONOMIC DEVELOPMENT GOALS

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AND ESTIMATE THE EFFECT ON FOREIGN EXCHANGE RESERVES. IS THERE AN ECONOMIC JUSTIFICATION FOR PROVIDING THE PROPOSED FMS FINANCING? IN THE CASE OF THOSE COUNTRIES AUTHORIZED MAP BY THE CONGRESS, WHAT IS THE ECONOMIC JUSTIFICATION FOR SUCH FUNDING?

(10) THE ARMS CONTROL IMPACT OF EACH MAJOR PROPOSED SALE, INCLUDING SUCH FACTORS AS THE POSSIBLE EFFECT ON REGIONAL STABILITY AND WHETHER OR NOT PROPOSED ACQUISITIONS WOULD INTRODUCE NEW CAPABILITIES INTO THE REGION.

(11) HUMAN RIGHTS PARAGRAPH TO FOLLOW SEPTTEL.

(12) WHERE APPROPRIATE A BRIEF SUMMARY OF ECONOMIC AND MILITARY ASSISTANCE FROM OTHER SOURCES.

(13) EACH COUNTRY PROGRAM SHOULD CONTAIN AT LEAST THREE SEPARATE LEVELS ACCORDING TO THE DEFINITIONS BELOW. EACH

LEVEL SHOULD CONSIST OF A PACKAGE OF PROGRAM COMPONENTS (MAP, FMS FINANCING, AND/OR IMET). EACH PACKAGE NEED NOT INCLUDE ALL COMPONENTS, E.G. A MINIMUM LEVEL MIGHT HAVE ONLY AN IMET PACKAGE WITH NO MAP OR FMS FINANCING COMPONENT WHILE THE NEXT INCREMENT FOR THE SAME COUNTRY MIGHT HAVE ALL THREE PROGRAM COMPONENTS. DEFINITIONS:

(A) LEVEL 1 (MINIMUM LEVEL): THE LEVEL BELOW WHICH IT IS NOT FEASIBLE FOR A PROGRAM TO CONTINUE BECAUSE LITTLE OR NO CONSTRUCTIVE CONTRIBUTION COULD BE MADE TOWARD FULFILLING ITS OBJECTIVES. THE MINIMUM LEVEL: (1) SHOULD BE SUBSTANTIALLY BELOW THE CURRENT LEVEL; (2) DOES NOT HAVE TO BE A LEVEL FULLY-ACCEPTABLE TO THE AMBASSADOR; (3) WOULD NOT COMPLETELY ACHIEVE THE OBJECTIVES OF THE COUNTRY PROGRAM; YET MUST BE AT A LEVEL AT WHICH THE COUNTRY PROGRAM CAN FUNCTION AND ACHIEVE AN ACCEPTABLE PORTION OF ITS OBJECTIVES.

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(B) LEVEL 2 (INTERMEDIATE LEVEL): LESS THAN THE CURRENT LEVEL, BUT AT SOME LOGICAL PROGRAM POINT IN TERMS OF ACHIEVEMENT OF OBJECTIVES.

(C) LEVEL 3 (CURRENT LEVEL): THE LEVEL AT WHICH THE PRIOR YEAR PROGRAM WOULD BE CONTINUED WITHOUT MAJOR POLICY CHANGES.

(D) INCREMENTAL LEVEL(S) (OPTIONAL): THE LEVEL (OR LEVELS) WHICH WOULD PROVIDE FOR NEW INITIATIVES ABOVE THE CURRENT LEVEL.

(E) FOR EACH LEVEL PROPOSED, INCLUDE A SHORT DESCRIPTION OF WHAT THE LEVEL WILL ACCOMPLISH AND A RISK/BENEFIT ASSESSMENT OF THE CONSEQUENCES OF APPROVING THE BUDGET AT THAT PARTICULAR LEVEL, INCLUDING HUMAN RIGHTS CONSEQUENCES.

(F) IN ADDITION TO RECOMMENDED LEVELS FOR MAP, FMS FINANCING AND IMET, COUNTRIES WHICH HAVE SECURITY ASSIS-

TANCE MANAGEMENT ORGANIZATIONS AUTHORIZED BY SECTION 515(B) AND (C), FAA, AND COUNTRIES WITH DAOS HAVING SECURITY ASSISTANCE AUGMENTATION WILL SUBMIT PROPOSED MANNING LEVELS FOR THE "MINIMUM," "INTERMEDIATE," "CURRENT," AND "INCREMENTAL" PROGRAM LEVELS DESCRIBED ABOVE. SUBMISSION SHOULD SPECIFY NUMBERS OF U.S. MILITARY, U.S. CIVILIANS, AND LWR EMPLOYEES FOR EACH PROGRAM LEVEL AND JUSTIFICATION FOR THESE NUMBERS, INCLUDING INTER ALIA REQUIREMENTS FOR MANAGEMENT OF ASSISTANCE IN THE PIPELINE FROM PRIOR YEAR PROGRAMS. ORGANIZATIONS AS A WHOLE AND EACH OF ITS MEMBERS MUST BE JUSTIFIED PRIMARILY IN TERMS OF SECURITY ASSISTANCE

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ACTIVITIES AS AUTHORIZED UNDER EXISTING STATUTES. MANNING LEVELS SHOULD BE SUBMITTED SEPARATELY FROM PACKAGES DESCRIBED IN SUBPARA (E) ABOVE.

(G) BEGINNING WITH THE MAY 1979 SUBMISSION, USCINCSO IS REQUESTED TO PROVIDE A PROGRAM SUBMISSION FOR CANAL ZONE MILITARY SCHOOLS FOLLOWING FORMAT OF PARA 3A(11) SUB-PARAGRAPH (A) THROUGH (E) TO JCS, COPY TO SECDEF AND SEC-STATE.

(H) BEGINNING IN 1979, IMET LEVELS SHOULD INCLUDE A DESCRIPTION OF THE SPECIFIC TYPES OF TRAINING ENVISAGED, INCLUDING NUMBER OF STUDENTS PROPOSED FOR EACH TYPE, AND A CLEAR DELINEATION BETWEEN THE NUMBER OF STUDENTS TO BE TRAINED IN THE U.S. AND OVERSEAS. FOR JULY 1978 SUBMISSION, BEST ESTIMATES ARE REQUESTED. EXPLAIN WHY THE TRAINING IS PROPOSED FOR IMET RATHER THAN FROM INDIGENOUS SOURCES, THIRD COUNTRIES, OR UNDER FMS.

(I) AMBASSADOR SHOULD SUBMIT HIS BEST ESTIMATE OF PROGRAM LEVEL REQUIREMENTS ANTICIPATED FOR TWO BUDGET YEARS BEYOND THE BUDGET YEAR, I.E., THE REPORT DUE JULY 21 WOULD ADDRESS FY 80, 81, AND 82. OPTION LEVELS ARE NOT REQUIRED. THERE SHOULD BE SEPARATE ESTIMATES FOR EACH PROGRAM ELEMENT (I.E., MAP, FMS FINANCING, AND IMET) BEARING IN MIND THE CERTAINTY OF CONTINUING SEVERE BUDGET LIMITATIONS.

B. CONSOLIDATED DATA REPORT DUE ANNUALLY ON DECEMBER 15, BEGINNING IN 1978. FOR USE IN PREPARATION OF THE CPD, PROVIDE THE FOLLOWING UNCLASSIFIED INFORMATION.

(1) PROVIDE AN UPDATE OF THE MAY SUBMISSION OF THE PROJECTION OF DEFENSE ARTICLES AND SERVICES EACH COUNTRY MAY SEEK TO ACQUIRE DURING THE FORTHCOMING BUDGET YEAR. (FOR 1978, THIS WILL BE AN UPDATE OF THE FY 80 PORTION OF THE FY 80-84 MSAP.)

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(2) JUSTIFICATION OF THE PROGRAM: A CONCISE STATEMENT EXPLAINING U.S. FOREIGN POLICY AND NATIONAL SECURITY CONSIDERATIONS INVOLVED IN THE U.S. SECURITY ASSISTANCE RELATIONSHIP WITH THE HOST COUNTRY, (REFERENCED PARA 3A(1) ABOVE).

(3) DESCRIPTION OF PROGRAM: A BRIEF DESCRIPTION OF EACH ELEMENT OF THE PROPOSED SECURITY ASSISTANCE RELATIONSHIP IN THE FOLLOWING FORMAT:

(A) MAP - DESCRIBE SPECIFIC MATERIEL EXPECTED TO BE PROVIDED.

(B) IMET - DESCRIBE SPECIFIC TYPE OF TRAINING, NUMBER OF STUDENTS PROPOSED FOR EACH TYPE, AND CLEAR DELINEATION BETWEEN NUMBER OF STUDENTS TO BE TRAINED IN THE U.S. AND OVERSEAS.

(C) FMS FINANCING - BRIEF NARRATIVE LISTING SPECIFIC DEFENSE ARTICLES AND RELATED TRAINING HOST COUNTRY IS EXPECTED TO PURCHASE WITH FY 1980 FMS FINANCING AND THEIR RELATION IF ANY TO PREVIOUS OR FUTURE ACQUISITIONS.

(D) FMS AND/OR COMMERCIAL PURCHASES - BRIEF NARRATIVE DESCRIBING SPECIFIC DEFENSE ARTICLES, SERVICES, AND TRAINING HOST COUNTRY IS EXPECTED TO PURCHASE FROM USG FOR CASH UNDER FMS PROCEDURES OR TO HAVE DELIVERED FROM THE U.S. ON A COMMERCIAL BASIS. ALL POSTS SHOULD SUBMIT THIS INFO WHETHER OR NOT THEY ARE RECIPIENTS OF MAP, FMS FINANCING OR IMET.

4. UNIFIED COMMANDS WILL REVIEW INFORMATION COPIES OF CONFIDENTIAL

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SUBMISSIONS AND SUBMIT, AS DEEMED APPROPRIATE, COMMENTS DIRECTLY TO THE JCS. INFORMATION ALREADY PROVIDED FOR THIS PLANNING CYCLE NEED NOT BE RESUBMITTED.

5. TO ASSIST IN PREPARATION OF CPD INPUT, INFORMATION CONCERNING BUDGET LEVELS FOR SECURITY ASSISTANCE PROGRAMS WILL BE DISSEMINATED AS BUDGET DECISIONS ARE MADE.

6. THIS GUIDANCE DOES NOT APPLY TO WARSAW PACT AND OTHER COUNTRIES TO WHICH THE U.S. DOES NOT, AS A MATTER OF POLICY SELL OR LICENSE THE SALE OF ARMS.

7. THIS IS A JOINT STATE/DEFENSE MESSAGE.
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<< END OF DOCUMENT >>

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